



# SHAILENDRA VISHNU & CO.

## CHARTERED ACCOUNTANTS

Flat No.01, Second Floor, Ilman Palace, 46/6 Gokhle Vihar Marg,  
Wazir Hasan Road, Lucknow - 226001. Phone : 0522-4305219 Mob.: 9415521920, 9305459454  
email: shailendra\_vishnu@yahoo.co.in

To,  
The Board of Directors,  
**AURUM CAPITAL PROJECTS LIMITED**  
2<sup>nd</sup> Floor, Y.M.C.A Complex,  
13, RanaPratapMarg, Lucknow – 226001

### AUDIT REPORT

(As per regulation 55A of the SEBI (Depositories and Participants) Regulations, 1996)

|    |                                                      |   |                                                                              |
|----|------------------------------------------------------|---|------------------------------------------------------------------------------|
| 1. | For quarter ended                                    | : | 31.12.2022                                                                   |
| 2. | ISIN                                                 | : | INE050F01016                                                                 |
| 3. | Face Value                                           | : | Rs 10/-                                                                      |
| 4. | Name of the Company                                  | : | <b>AURUM CAPITAL PROJECTS LIMITED</b>                                        |
| 5. | Registered Office Address                            | : | 2 <sup>nd</sup> Floor, Y.M.C.A Complex, 13, RanaPratapMarg, Lucknow – 226001 |
| 6. | Corporate office Address                             | : | 2 <sup>nd</sup> Floor, Y.M.C.A Complex, 13, RanaPratapMarg, Lucknow – 226001 |
| 7. | Telephone & Fax Nos.                                 | : | 0522 – 2209402                                                               |
| 8. | Email Address                                        | : | admin@aurumcapitalprojects.com                                               |
| 9. | Names of Stock Exchanges where securities are listed | : | <b>Metropolitan Stock Exchange of India Limited</b>                          |

| Particulars |                                     | Number of Shares | % of total issued capital |
|-------------|-------------------------------------|------------------|---------------------------|
| 10.         | Issued Capital                      | 3210500          | 100                       |
| 11.         | Listed Capital                      | 3210500          | 100                       |
| 12.         | Held in dematerialized form in CDSL | 104500           | 3.25                      |
| 13.         | Held in dematerialized form in NSDL | 1086200          | 33.83                     |
| 14.         | Physical                            | 2019800          | 62.91                     |
| 15.         | <b>Total</b>                        | <b>3210500</b>   | <b>100</b>                |

16. Reasons for differences if any, between:

|             |               |
|-------------|---------------|
| (10 and 11) | No Difference |
| (10 and 15) | No Difference |
| (11 and 15) | No Difference |



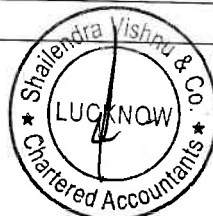
| 17. Certifying the details of changes in share capital during the quarter under consideration as per table below: |               |               |                                  |                                               |                           |                           |                                                  |         |
|-------------------------------------------------------------------------------------------------------------------|---------------|---------------|----------------------------------|-----------------------------------------------|---------------------------|---------------------------|--------------------------------------------------|---------|
| Sr. No.                                                                                                           | Particulars** | No. of Shares | Applied/ Not Applied for listing | Listed on Stock Exchanges (specify the names) | Whether intimated to CDSL | Whether intimated to NSDL | In-prin. Approval pending for SE (specify names) | Remarks |
| Nil                                                                                                               | Nil           | Nil           | Nil                              | Nil                                           | Nil                       | Nil                       | Nil                                              | Nil     |

\*\*\*Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buy-back, Capital Reduction, Forfeiture, Any other (to specify)

|     |                                                                                                                                                      |   |     |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----|
| 18. | Register of Members is updated                                                                                                                       | : | Yes |
| 19. | Reference of previous quarter with regards to excess dematerialized shares                                                                           | : | N.A |
| 20. | Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?                                       | : | N.A |
| 21. | Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reason for delay: |   |     |

| Total no. of Demat request    | No. of requests | No. of Shares | Reasons for delay |
|-------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 days       | N.A.            | N.A.          | N.A.              |
| Pending for more than 21 days | N.A.            | N.A.          | N.A.              |

| Compliance Officer Details: |                                                           |   |                |
|-----------------------------|-----------------------------------------------------------|---|----------------|
| 22.                         | Whether Qualified Company Secretary is Compliance Officer | : | Yes            |
| 23.                         | Whether Compliance Officer Appointed                      | : | Yes            |
| 24.                         | Name of the Compliance Officer                            |   | Ms. Geetanjali |
| 25.                         | Date of Appointment                                       |   | 18.07.2022     |
| 26.                         | Membership Number                                         |   | A62700         |
| 27.                         | Telephone Number                                          |   | 0522- 2209402  |



|     |                                                                         |   |                                                                                                     |
|-----|-------------------------------------------------------------------------|---|-----------------------------------------------------------------------------------------------------|
| 28. | Whether any change in Compliance Officer during the previous 2 quarters | : | Yes<br>Shiwani Singh (A63089) who was appointed on 18.09.2020 resigned from services on 30.05.2022. |
|-----|-------------------------------------------------------------------------|---|-----------------------------------------------------------------------------------------------------|

| Certifying Auditor Details |                                                                                            |   |                                                                                              |
|----------------------------|--------------------------------------------------------------------------------------------|---|----------------------------------------------------------------------------------------------|
| 29.                        | CA/CS*                                                                                     | : | Chartered Accountant                                                                         |
| 30.                        | Name of Certifying Auditor                                                                 | : | CA. Shailendra Srivastava                                                                    |
| 31.                        | Address                                                                                    | : | Flat No.- 01, II Floor, ilman Palace, 46/6 GokhleViharMarg, WazirHasan Road, Lucknow- 226001 |
| 32.                        | Phone No.                                                                                  | : | 0522 - 4010282                                                                               |
| 33.                        | Membership Number                                                                          | : | 411649                                                                                       |
| 34.                        | Appointment of Common Agency for Share Registry work                                       | : | Skyline Financial Services Private Limited                                                   |
| 35.                        | Address                                                                                    | : | D-153 A, 1 <sup>st</sup> Floor, Okhla Industrial Area, Phase - I, New Delhi - 110 020        |
| 36.                        | Any other details which may Auditor like to provide (e.g. BIFR Company, delisting from SE) | : | No                                                                                           |

For Shailendra Vishnu & Co.,  
Chartered Accountants  
(FRN-09832C)

*Shailendra*



CA. Shailendra Srivastava  
Partner  
Membership No- 411649

Place: Lucknow  
Dated: 13.01.2023

UDIN 234116496904BD7641