CIN: L67120UP1992PLC014607

2<sup>nd</sup> Floor, Y.M.C.A Complex, 13, Rana Pratap Marg, Lucknow – 226001. Phone: 0522- 2209402 Email: <u>admin@aurumcapitalprojects.com</u>;

# Form No. MGT- 9 Extract of Annual Return As on financial year ended on 31st March, 2021

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

#### I. REGISTRATION & OTHER DETAILS

| i.   | CIN  | :  | L67120UP1992PLC014607   |  |  |  |  |  |
|------|--|----|---|--|--|--|--|--|
| ii.  | Registration Date  | :  | 03/08/1992  |  |  |  |  |  |
| iii. | Name of the Company  | •• | Aurum Capital Projects Limited  |  |  |  |  |  |
| iv.  | Category/Sub-category of the Company                                       | :  | Company limited by shares/ Indian Non-Government Company.   |  |  |  |  |  |
| ٧.   | Address of the Registered office & contact details                         | •  |   |  |  |  |  |  |
| ۷i.  | Whether listed company   | •• | Listed  |  |  |  |  |  |
| vii. | Name, Address & contact details of the Registrar & Transfer Agent, if any. | :  | Skyline Financial services Private Limited D-153/A, 1st Floor, Okhla Industrial Area, Phase - 1, Delhi - 110020 Phone - 011 - 30857575 Email Id: info@skylinerta.com Website:www.skylinerta.com |  |  |  |  |  |

### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the Company)

| Sr.<br>No. | Name and Description of main products / services | NIC Code of the Product/service | % to total turnover of the company |
|------------|--|---------------------------------|------------------------------------|
| 1.         | Other credit granting services n.e.c             | 99711390                        | 100%                               |

### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

| Sr.<br>No. | Name and Address<br>of the Company  | CIN/GLN | Holding/<br>Subsidiary/<br>Associate | % of<br>shares<br>held | Applicable Section |  |  |  |  |  |
|------------|---|---------|--------------------------------------|------------------------|--------------------|--|--|--|--|--|
|            | The company does not have any Holding, Subsidiary or Associate companies. |         |                                      |                        |                    |  |  |  |  |  |

### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i. Category-wise Shareholding

| Category of  | No. of S    | No. of Shares held at the beginning |       |       |       | No. of Shares held at the end of the |       |       |      |
|--------------|-------------|-------------------------------------|-------|-------|-------|--------------------------------------|-------|-------|------|
| Shareholders | of the year |                                     |       | year  |       |                                      |       | Cha   |      |
|              | Demat       | Physical                            | Total | % of  | Demat | Physical                             | Total | % of  | nge  |
|              |             |                                     |       | total |       |                                      |       | Total | duri |

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|  |        |      |        | share<br>s |        |      |        | Shares | ng<br>the<br>yea<br>r |
|--|--------|------|--------|------------|--------|------|--------|--------|-----------------------|
| A. PROMOTER S  |        |      |        |            |        |      |        |        | -                     |
| (1) Indian   |        |      |        |            |        |      |        |        |                       |
| a) Individual/ <del>H</del><br><del>UF</del>                     | 591700 | 2900 | 594600 | 18.52      | 591700 | 2900 | 594600 | 18.52  | -                     |
| b) Central<br>Govt.(s)   | -      | -    | -      |            | -      | -    | -      | -      | -                     |
| c) State<br>Govt.(s)   | -      | -    | -      |            | -      | -    | -      | -      | -                     |
| d) Bodies Corp.  | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| e) Banks / FI  | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| f) Any other   | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| Sub-total  | 591700 | 2900 | 594600 | 18.5       | 591700 | 2900 | 594600 | 18.52  | -                     |
| (A)(1)   |        |      |        | 2          |        |      |        |        |                       |
| (2) Foreign  |        |      |        |            |        |      |        |        |                       |
| a) NRIs-<br>Individuals  | -      | -    | -      | •          | -      | -    | -      | -      | -                     |
| b) Others-<br>Individuals  |        | -    | -      | -          | -      | -    | -      | -      | -                     |
| c) Bodies Corp.  | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| d) Banks/FI  | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| e) Any other   | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| Sub-total<br>(A)(2)  | •      | -    | -      | -          | -      | -    | -      | -      | -                     |
| Total<br>shareholding<br>of Promoter<br>(A) = (A)(1) +<br>(A)(2) | 591700 | 2900 | 594600 | 18.5<br>2  | 591700 | 2900 | 594600 | 18.52  | -                     |
| B. PUBLIC<br>SHAREHOLDIN<br>G                                    |        |      |        |            |        |      |        |        |                       |
| (1) Institutions   |        |      |        |            |        |      |        |        |                       |
| a) Mutual<br>Funds   | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| b) Banks / Fl  | _      | _    | _      | _          | _      | _    | _      | _      | _                     |
| c) Central<br>Govt.(s)   | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| d) State<br>Govt.(s)   | -      | -    | -      | -          | -      | -    | -      | -      | -                     |
| e) Venture<br>Capital<br>Funds                                   | -      | -    | -      | -          | -      | -    | -      | -      | -                     |

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| <b>f</b> ) Inc.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |          |         |         |              |         |         |         |         |   |
|---|----------|---------|---------|--------------|---------|---------|---------|---------|---|
| f) Insurance  | -        | -       | -       | -            | -       | -       | -       | -       | - |
| Companies   |          |         |         |              |         |         |         |         |   |
| g) FIIs   | -        | -       | -       | -            | -       | -       | -       | -       | - |
| h) Foreign  | -        | -       | -       | -            | -       | -       | -       | -       | - |
| Venture   |          |         |         |              |         |         |         |         |   |
| Capital   |          |         |         |              |         |         |         |         |   |
| Funds   |          |         |         |              |         |         |         |         |   |
| i) Others   | -        | -       | -       | -            | -       | -       | -       | -       | - |
| (specify)   |          |         |         |              |         |         |         |         |   |
| Sub-total   | -        | -       | -       | -            | -       | -       | -       | -       | - |
| (B)(1)  |          |         |         |              |         |         |         |         |   |
| (2) Non   |          |         |         |              |         |         |         |         |   |
| Institutions  |          |         |         |              |         |         |         |         |   |
| a) Bodies Corp.                                     |          |         |         |              |         |         |         |         |   |
| i. Indian   | -        | 324500  | 324500  | 10.11        | 65000   | 259500  | 324500  | 10.11   | - |
| ii. Overseas  | -        | 1       | -       | -            | -       | _       | 1       | -       | - |
| b) Individuals                                      | -        | -       | -       | -            |         |         |         |         |   |
| i. Individual                                       | 500      | 272200  | 272700  | 8.49         | 9000    | 263700  | 272700  | 8.49    | - |
| shareholders  | 300      | 2,2200  | 2,2,00  | <b>0.</b> 17 | ,000    | 2007.00 | 2,2,00  | 0.17    |   |
| holding   |          |         |         |              |         |         |         |         |   |
| nominal   |          |         |         |              |         |         |         |         |   |
| share   |          |         |         |              |         |         |         |         |   |
| capital   |          |         |         |              |         |         |         |         |   |
| uptoRs. 1   |          |         |         |              |         |         |         |         |   |
| lakh  |          |         |         |              |         |         |         |         |   |
| ii. Individual                                      | 266600   | 1665900 | 1932500 | 60.19        | 475000  | 1457500 | 1932500 | 60.19   |   |
| shareholders  | 200000   | 1003700 | 1732300 | 00.19        | 47 3000 | 1437300 | 1932300 | 00.19   | - |
| holding   |          |         |         |              |         |         |         |         |   |
| nominal   |          |         |         |              |         |         |         |         |   |
| share   |          |         |         |              |         |         |         |         |   |
|   |          |         |         |              |         |         |         |         |   |
| capital in  |          |         |         |              |         |         |         |         |   |
| excess of Rs  |          |         |         |              |         |         |         |         |   |
| 1 lakh  |          | 0.4000  | 0.4000  | 0.40         |         | 0.4000  | 0.4000  | 0.40    |   |
| c) Others   | -        | 86200   | 86200   | 2.68         | -       | 86200   | 86200   | 2.68    | - |
| (HUF)   | 2.17.122 | 22.1222 | 044500  | 2.1.1        | - 10000 |         |         | 0.4.40  |   |
| Sub-total   | 267100   | 234880  | 261590  | 81.4         | 549000  | 206690  | 261590  | 81.48   | - |
| (B)(2)  |          | 0       | 0       | 8            |         | 0       | 0       |         |   |
| Total Public  | 267100   | 234880  | 261590  | 81.4         | 549000  | 206690  | 261590  | 81.48   | - |
| Shareholding  |          | 0       | 0       | 8            |         | 0       | 0       | - 1, 12 |   |
| (B)=(B)(1)+   |          |         |         |              |         | •       |         |         |   |
| (B)(2)  |          |         |         |              |         |         |         |         |   |
| C. SHARES   | _        | -       |         | _            |         |         | _       | _       |   |
| HELDBYCUST  | -        | _       | _       | _            | -       | -       | _       |         |   |
| ODIAN FOR   |          |         |         |              |         |         |         |         |   |
| GDRS &  |          |         |         |              |         |         |         |         |   |
| ADRS  |          |         |         |              |         |         |         |         |   |
| ADINO   |          |         |         |              |         |         |         |         |   |

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| Grand  | Total | 858800 | 235170 | 321050 | 100 | 114070 | 206980 | 321050 | 100 | - | l |
|--------|-------|--------|--------|--------|-----|--------|--------|--------|-----|---|---|
| (A+B+C | )     |        | 0      | 0      |     | 0      | 0      | 0      |     |   |   |

## ii. Shareholding of Promoters

| SI.<br>No | Shareholder's<br>Name | Shareho          | lding at the                                     | e beginning<br>ar                                 | Share holding at the end of the year |  |  | % change in                            |
|-----------|-----------------------|------------------|--|---|--------------------------------------|--|--|--|
|           |                       | No. of<br>Shares | % of<br>total<br>Shares<br>of the<br>compan<br>y | % of Shares Pledged / encumber ed to total shares | No. of<br>Shares                     | % of<br>total<br>Shares<br>of the<br>compan<br>y | %of Shares Pledged / encumber ed to total shares | sharehol<br>ding<br>during<br>the year |
| 1.        | Rajiv Agarwal         | 98200            | 3.06   | -   | 98200                                | 3.06   | -  | -                                      |
| 2.        | Sanjay Kr.<br>Bhutani | 128700           | 4.00   | -   | 128700                               | 4.00   | -  | -                                      |
|           | Total                 | 226900           | 7.06   | -   | 226900                               | 7.06   | -  | -                                      |

## iii. Change in Promoters' Shareholding

| Sr  |                         | Shareholdi                | •          | Cumulative Sharel | nolding during the |  |  |
|-----|-------------------------|---------------------------|------------|-------------------|--------------------|--|--|
| No. |                         | beginning o               | f the year | year              |                    |  |  |
|     |                         | No. of shares % of total  |            | No. of shares     | % of total         |  |  |
|     |                         |                           | shares of  |                   | shares of the      |  |  |
|     |                         |                           | the        |                   | company            |  |  |
|     |                         |                           | company    |                   |                    |  |  |
| 1.  | At the beginning of the | 226900                    | 7.06       |                   |                    |  |  |
|     | year                    |                           |            |                   |                    |  |  |
| 2.  | Change during the year  | No change during the year |            |                   |                    |  |  |
| 3.  | At the end of the year  |                           |            | 226900            | 7.06               |  |  |

## iv. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

| Sr.No | For each of the top 10<br>Shareholders |                  | ding at the of the year          | Cumulative Shareholding during the year |                                  |  |
|-------|--|------------------|----------------------------------|---|----------------------------------|--|
|       |  | No. of<br>shares | % of total shares of the Company | No. of<br>shares                        | % of total shares of the Company |  |
| 1.    | Suisse Holdings & Properties Pvt Ltd   |                  |                                  |   |                                  |  |
|       | At the beginning of the year           | 234500           | 7.30                             | 234500                                  | 7.30                             |  |
|       | At the end of the year                 | 234500           | 7.30                             | 234500                                  | 7.30                             |  |
| 2.    | ShilpiAgarwal                          |                  |                                  |   |                                  |  |
|       | At the beginning of the year           | 96500            | 3.00                             | 96500                                   | 3.00                             |  |

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|     | At the end of the year       | 96500 | 3.00 | 96500 | 3.00 |
|-----|------------------------------|-------|------|-------|------|
| 3.  | Jai Prakash Singh            |       |      |       |      |
|     | At the beginning of the year | 93500 | 2.91 | 93500 | 2.91 |
|     | At the end of the year       | 93500 | 2.91 | 93500 | 2.91 |
| 4.  | Salma Haroon                 |       |      |       |      |
|     | At the beginning of the year | 90500 | 2.82 | 90500 | 2.82 |
|     | At the end of the year       | 90500 | 2.82 | 90500 | 2.82 |
| 5.  | ArvindKumar Awasthi          |       |      |       |      |
|     | At the beginning of the year | 90000 | 2.80 | 90000 | 2.80 |
|     | At the end of the year       | 90000 | 2.80 | 90000 | 2.80 |
| 6.  | AbhishekBhatnagar            |       |      |       |      |
|     | At the beginning of the year | 89100 | 2.77 | 89100 | 2.77 |
|     | At the end of the year       | 89100 | 2.77 | 89100 | 2.77 |
| 7.  | Mohd. Zafar Khan             |       |      |       |      |
|     | At the beginning of the year | 88100 | 2.74 | 88100 | 2.74 |
|     | At the end of the year       | 88100 | 2.74 | 88100 | 2.74 |
| 8.  | Jai Prakash Gupta            |       |      |       |      |
|     | At the beginning of the year | 87100 | 2.71 | 87100 | 2.71 |
|     | At the end of the year       | 87100 | 2.71 | 87100 | 2.71 |
| 9.  | GeetaMehrotra                |       |      |       |      |
|     | At the beginning of the year | 86600 | 2.70 | 86600 | 2.70 |
|     | At the end of the year       | 86600 | 2.70 | 86600 | 2.70 |
| 10. | Ranjit Singh                 |       |      |       |      |
|     | At the beginning of the year | 86600 | 2.70 | 86600 | 2.70 |
|     | At the end of the year       | 86600 | 2.70 | 86600 | 2.70 |

At the end of the year 86600 2.70 86600 Note: The Company has not made any allotment of shares neither has issued any bonus/sweat equity shares during the year.

## v. Shareholding of Directors and Key Managerial Personnel

| Sr.No. | Shareholding of each Directors and each Key Managerial | Sharehold<br>beginning o |  | Cumulative Shareholding during the year |                                  |  |
|--------|--|--------------------------|--|---|----------------------------------|--|
|        | Personnel  | No. of shares            | % of total<br>shares of<br>the company | No. of shares                           | % of total shares of the company |  |
| 1.     | Rajiv Agarwal  |                          |  |   |                                  |  |
|        | At the beginning of the year                           | 98200                    | 3.06                                   | 98200                                   | 3.06                             |  |
|        | At the end of the year                                 | 98200                    | 3.06                                   | 98200                                   | 3.06                             |  |
| 2.     | Sanjay Kumar Bhutani                                   |                          |  |   |                                  |  |
|        | At the beginning of the year                           | 128700                   | 4.00                                   | 128700                                  | 4.00                             |  |
|        | At the end of the year                                 | 128700                   | 4.00                                   | 128700                                  | 4.00                             |  |
| 3.     | Ritika Gupta   |                          |  |   |                                  |  |
|        | At the beginning of the year                           | 30600                    | 0.95                                   | 30600                                   | 0.95                             |  |
|        | At the end of the year                                 | 30600                    | 0.95                                   | 30600                                   | 0.95                             |  |
| 4.     | GeetaMehrotra  |                          |  |   |                                  |  |

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| At the beginning of the year | 86600 | 2.70 | 86600 | 2.70 |
|------------------------------|-------|------|-------|------|
| At the end of the year       | 86600 | 2.70 | 86600 | 2.70 |

#### V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment - NIL

|  | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total<br>Indebtedness |  |  |
|--|----------------------------------|-----------------|----------|-----------------------|--|--|
| The company did not have any indebtedness either at the beginning, during or at the end of the year. |                                  |                 |          |                       |  |  |

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

## A. Remuneration to Managing Director, Whole-Time Directors and/ or Manager

| Sr. | Particulars of Remuneration       | Name of MD/WTD/   | Total Amount |
|-----|-----------------------------------|-------------------|--------------|
| No. |                                   | Manager           |              |
|     |                                   | Ritika Gupta(WTD) |              |
| 1.  | Gross salary                      |                   |              |
|     | (a) Salary as per provisions      | 600,000           | 600,000      |
|     | contained in section 17(1) of the |                   |              |
|     | Income-tax Act, 1961              |                   |              |
|     | (b) Value of perquisites          | NIL               | NIL          |
|     | (c) Profits in lieu of salary     | NIL               | NIL          |
| 2.  | Stock Option                      | NIL               | NIL          |
| 3.  | Sweat Equity                      | NIL               | NIL          |
| 4.  | Commission                        | NIL               | NIL          |
|     | -as % of profit                   | NIL               | NIL          |
|     | -others, specify                  | NIL               | NIL          |
| 5.  | Others , please specify           | NIL               | NIL          |
|     | Total                             | 600,000           | 600,000      |

#### B. Remuneration to other Directors -NIL

| Sr. No.  | Particulars of remuneration | Name of the Director | Amount |  |  |  |
|--|-----------------------------|----------------------|--------|--|--|--|
| No remuneration has been drawn by any of the other directors during the year. The Directors have |                             |                      |        |  |  |  |
| waived off their sitting fees for attending the Board/Committee meetings.                        |                             |                      |        |  |  |  |

## C. Remuneration to Key Managerial Personnel other Than MD/Manager/WTD

| Sr<br>No | Particulars of<br>Remuneration | Key Managerial Personnel |              |             |               |       |
|----------|--------------------------------|--------------------------|--------------|-------------|---------------|-------|
|          |                                | Mr.                      | Ms.          | Ms. Shiwani | Ms.           | Total |
|          |                                | SudhirJaiswal            | NisthaShukla | Singh*      | GeetaMehrotra |       |
|          |                                | (CEO)                    | (CS)         | (CS)        | (CFO)         |       |

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|    | Gross salary                           |          |        |          |         |          |
|----|--|----------|--------|----------|---------|----------|
|    | (a) Salary as per provisions contained | 1,76,000 | 78,750 | 1,31,350 | 144,000 | 5,30,100 |
|    | in sec<br>tion 17(1) of the            |          |        |          |         |          |
|    | Income-tax Act, 1961                   |          |        |          |         |          |
|    | (b) Value of perquisites               | Nil      | Nil    | Nil      | Nil     | Nil      |
|    |  |          |        |          |         |          |
|    | (c) Profits in lieu of salary          | Nil      | Nil    | Nil      | Nil     | Nil      |
| 1. | Stock Option                           | Nil      | Nil    | Nil      | Nil     | Nil      |
| 2. | Sweat Equity                           | Nil      | Nil    | Nil      | Nil     | Nil      |
| 3. | Commission                             | Nil      | Nil    | Nil      | Nil     | Nil      |
|    | - as % of profit                       | Nil      | Nil    | Nil      | Nil     | Nil      |
|    | others, specify                        | Nil      | Nil    | Nil      | Nil     | Nil      |
| 4. | Others, please                         | Nil      | Nil    | Nil      | Nil     | Nil      |
|    | specify                                |          |        |          |         |          |
|    | Total                                  | 1,76,000 | 78,750 | 1,31,350 | 144,000 | 5,30,100 |

<sup>\*</sup> Appointed w.e.f 18.09.2020.

### VII. PENALTIES / PU NISHMENT/ COMPOUNDING OF OFFENCES

| Туре         | Section of<br>the<br>Companies<br>Act | Brief<br>Description | Details of Penalty / Punishment/ Compounding fees imposed | Authority<br>[RD / NCLT/<br>COURT] | Appeal made,<br>if any (give<br>Details) |  |  |
|--------------|---------------------------------------|----------------------|---|------------------------------------|--|--|--|
| A. COMPANY   |                                       |                      |   |                                    |  |  |  |
| Penalty      |                                       |                      |   |                                    |  |  |  |
| Punishment   |                                       |                      | None  |                                    |  |  |  |
| Compounding  |                                       |                      |   |                                    |  |  |  |
| B. DIRECTORS | B. DIRECTORS                          |                      |   |                                    |  |  |  |
| Penalty      |                                       |                      |   |                                    |  |  |  |
| Punishment   | None                                  |                      |   |                                    |  |  |  |
| Compounding  |                                       |                      |   |                                    |  |  |  |
| C. OTHER OFF | C. OTHER OFFICERS IN DEFAULT          |                      |   |                                    |  |  |  |
| Penalty      |                                       |                      |   |                                    |  |  |  |
| Punishment   | None                                  |                      |   |                                    |  |  |  |
| Compounding  |                                       |                      |   |                                    |  |  |  |

For and on behalf of the Board of Directors
Sd/(Ritika Gupta) (Rajiv Agarwal) (Sanjay Kumar Bhutani)
Director Director Director
DIN - 01162997 DIN :01141517 DIN :01415528

Date:11.08.2021 Place:Lucknow

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